

31

32 Abstract

33 Saccades are used by the visual system to explore visual space with the high
34 accuracy of the fovea. The visual error after the saccade is used to adapt the
35 control of subsequent eye movements of the same amplitude and direction in
36 order to keep saccades accurate. Saccadic adaptation is thus specific to saccade
37 amplitude and direction. In the present study we show that saccadic adaptation is
38 also specific to the initial position of the eye in the orbit. This is useful because
39 saccades are normally accompanied by head movements and the control of
40 combined head and eye movements depends on eye position, and possible
41 because many parts of the saccadic system contain eye position information.
42 Using the intra-saccadic target step paradigm we adaptively reduced the
43 amplitude of reactive saccades to a suddenly appearing target at a selective
44 position of the eyes in the orbitae and tested the resulting amplitude changes for
45 the same saccade vector at other starting positions. For central adaptation
46 positions the saccade amplitude reduction transferred completely to eccentric
47 starting positions. However, for adaptation at eccentric starting positions, there
48 was a reduced transfer to saccades from central starting positions or from
49 eccentric starting positions in the opposite hemifield. Thus, eye position
50 information modifies the transfer of saccadic amplitude changes in the adaptation
51 of reactive saccades. A gain field mechanism may explain the eye position
52 dependence found.

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1 Introduction

56 With saccadic eye movements the oculomotor system directs the foveae to
57 points of interest in a scene. Saccadic adaptation is a process that controls the
58 accuracy of a saccade by adjusting its gain when the movement did not reach its
59 target. The mechanisms underlying saccadic adaptation are studied in the
60 paradigm of intra-saccadic target displacement (McLaughlin 1967), in which the
61 saccade target is displaced while the saccade is in flight, thereby creating an
62 artificial post-saccadic error. Saccades are stereotyped and ballistic, therefore
63 saccadic success is monitored after the saccade and the saccadic motor plan for
64 subsequent saccades is modified after movement execution, if necessary.

65 Studies using the intra-saccadic target displacement paradigm have shown that
66 saccadic adaptation is specific to the direction and amplitude of the saccade,
67 consistent with the view that the oculomotor system codes saccades primarily by
68 means of their motor vector (Hopp and Fuchs 2004, Pelisson et al. 2010).
69 However, there are at least two reasons why adaptation may also be specific to
70 initial eye position, i.e. include information beyond the motor vector.

71 First, same-amplitude saccades from different starting positions in the orbit need
72 different eye muscle control. Thus, at least the late stages of oculomotor control
73 need to take eye position into account, and so should adaptive processes that
74 compensate for muscle weakness or fatigue (Sylvestre and Cullen 1999, Ling et
75 al. 2007, Groh 2010). Second, saccades larger than a couple of degrees are
76 normally accompanied by head movements (Guitton 1992). In this case, the

77 control signal of the oculomotor system is a gaze shift command, i.e. a command
78 for a combination of eye and head movements to result in a shift of gaze to the
79 target (Munoz et al. 1991; Freedman and Sparks 1997), and the intra-saccadic
80 target displacement paradigm shows adaptation of the gaze shift control (Cecala
81 et Freedman 2008; Cecala and Freedman 2008; Philips et al. 1997). The gaze
82 shift command must be decomposed into head and eye components to drive the
83 respective effectors, since the relative contributions of eye and head components
84 to a gaze shift depend on initial eye position (Freedman 2008). Like the low-level
85 control of eye kinematics the decomposition into eye and head components is
86 performed in late stages of oculomotor control.

87 There are also theoretical arguments for an inclusion of information beyond the
88 motor vector in saccadic adaptation. For an optimal adaptation of oculomotor
89 control the system should perform an assessment of the possible origins of any
90 post-saccadic visual error, i.e. an attribution of this error to one or more of several
91 possible causes (Kording et al. 2007, Wei and Kording 2009). For such a
92 process, information about body movements is crucial to differentiate external
93 from internal error sources during natural behavior. Eye position, in this sense,
94 allows to distinguish visual errors due to failures of saccade control from visual
95 target displacements due to intervening head movements. It connects eye
96 movements with head postures in a retinotopic to craniotopic coordinate
97 transformation.

98 Many parts of the saccadic system contain eye position signals, which allow an
99 eye position dependent oculomotor control.

100 In a schematic view of the oculomotor system, two large circuits interact in the
101 control of saccades. One loop includes the brain stem burst generator (BBG), the
102 cerebellum, the nucleus reticularis tegmenti pontis (NRTP) and the superior
103 colliculus (SC). This cerebellar-collicular-brainstem loop controls saccade
104 kinematics. Furthermore a cortical loop including the frontal eye field (FEF), and
105 the lateral intraparietal area (LIP) influences the BBG directly and via the SC.
106 This cortical loop is believed to take part in higher aspects of saccade control,
107 such as target selection, attention, and memory.

108 Both loops show dependencies on eye position in the generation of saccades.
109 After lesions or inactivation of the cerebellum, saccades deviate systematically
110 from the correct amplitude depending on the initial eye position (Ritchie 1976;
111 Robinson et al. 1993). Furthermore, eye position influences the activity of some
112 single neurons in the fastigial nucleus (Fuchs et al. 1993) and the NRTP
113 (Crandall and Keller 1985) during saccade generation.

114 In the SC neuronal firing rates are modulated mainly by the saccade vector but
115 also by the orbital eye position. This modulation has the form of an eye position
116 gain field (Campos et al. 2006; Opstal et al. 1995). Eye position gain fields have
117 first been described by Andersen and Mountcastle (1983) and Zipser and
118 Andersen (1988) and can be found among other areas in area LIP (Andersen et
119 al. 1990) and the FEF (Cassanello and Ferrera 2007) in the cortical saccade
120 loop. The origin of the eye position modulation may lie in a representation of eye
121 muscle proprioception in the somatosensory cortex (Wang et al., 2007).

122 In summary, therefore, the fastigial nucleus and vermis of the cerebellum, the

123 NRTP, the SC, LIP, FEF possess the potential for eye position dependent effects
124 within the saccadic circuitry.

125 Therefore we can expect that the eye position information encoded in the
126 saccadic system via gain field modulations is also present in the adaptive control
127 of oculomotor performance. This would predict an eye position specific saccadic
128 adaptation.

129 The specificity of saccadic adaptation to eye position has previously been
130 tested with two different experimental paradigms. In the first paradigm, saccades
131 at one particular starting position were adapted, and the transfer of adaptation to
132 similar saccades starting from other spatial locations was tested (Semmlow et al.
133 1989; Albano 1996; Frens and Opstal 1994; Deubel et al. 1995). These tests
134 usually gave strong transfer from the adapted eye position to the tested eye
135 positions, concluding that saccadic adaptation is retinocentric. The second
136 paradigm tested whether saccades that started from two different spatial
137 positions could be adapted differently (Alahyane and Pelisson 2004; Semmlow et
138 al. 1989; Shelhamer and Clendaniel 2002; Tian and Zee 2010; Watanabe et al.
139 2000). These studies collectively found that it was possible to adapt saccades at
140 one eye position in one way, and, simultaneously, adapt saccades at another eye
141 position in another way. They concluded that saccadic adaptation can depend on
142 eye position as a contextual cue to resolve the situation of conflicting error
143 information at the two positions. In the present study we re-visit the first paradigm
144 to determine if the retinocentric encoding of saccadic adaptation is modulated by
145 eye position as an inherent factor even without conflicting errors.

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147

148 2 Material and Methods

149

150 2.1 Stimuli and recording set-up

151 The subject sat at 57 cm distance from a 22" monitor (Eizo FlexScan F930).
152 This resulted in visual field of 40 deg x 30 deg. The room was completely dark. A
153 transparent foil reduced the luminance of the monitor by two log units and
154 minimized the visibility of the monitor borders. Stimuli were presented with a
155 refresh rate of 120 Hz and a resolution of 600 x 800 pixels. The stimuli were
156 white squares, 0.75 deg by 0.75 deg, with a luminance of 0.5 cd/m². Eye
157 movements were recorded with the EyeLink 1000 system (SR Research, Ltd.,
158 Canada) at 1000 Hz sample rate. For all subjects the left eye was recorded.
159 Viewing was binocular. The subject's head was fixed with a bite bar. The
160 experiment was performed in accordance with the guidelines from the declaration
161 of Helsinki.

162

163 2.2 Experimental procedure

164 The adaptation procedure was carried out according to a modified McLaughlin
165 (1967) paradigm. The subject performed a saccade to a suddenly appearing
166 target. Simultaneously with the appearance of the target, the fixation point was
167 turned off. During the saccade, a displacement of the target was introduced that
168 resulted in a post-saccadic visual error. Over the course of many trials the

169 saccadic amplitude then adapts in the direction of the displacement.

170 Five equally distributed fixation positions in a horizontal plane with a distance
171 of five deg between neighboring fixation positions were used to test for an eye
172 position effect (Fig. 1). In a single session, saccades originating from one of the
173 five fixation positions were adapted via the target backstep procedure.
174 Thereafter, the amount of adaptation at all five positions was tested.

175 The same experiment was afterwards repeated for a vertical alignment of
176 initial eye positions. The experimental protocol was identical to the first
177 experiment with the exception that the test positions were arranged vertically.
178 Five test positions were placed 5 deg apart along the vertical meridian. Position 0
179 deg was aligned with the eye level of the subject. Positions -10 deg and -5 deg
180 were located below, positions 5 deg and 10 deg above eye level.

181 Every adaptation session consisted of three phases, a pre-adaptation phase,
182 an adaptation phase and a post-adaptation phase. The pre-adaptation phase
183 served to obtain baseline data for each initial eye position. All positions were
184 tested with five test trials in random order. Pre-adaptation data from the five
185 sessions were combined such that the baseline from every test position was
186 calculated from 25 repetitions. The pre-adaptation phase was followed by the
187 adaptation phase, which consisted of 88 adaptation trials and 44
188 pseudorandomly interleaved balancing trials described below. In the post-
189 adaptation phase, each initial fixation position was tested with 20 test trials. After
190 each test trial, two adaptation trials at the adaptation position were interleaved to
191 retain the subject in the adapted state. Therefore the post-adaptation phase

192 consisted of 300 trials. In total, one session therefore consisted of 457 trials. To
193 avoid blinking during adaptation and test saccades, every ten trials the fixation
194 point turned red and stayed red for an additional second to allow blinking.

195 Fig. 1A shows the events during a single adaptation trial. The trial started with
196 a fixation at one of the five initial eye positions. The saccade target appeared
197 seven deg to the right of the fixation point after a fixation duration of 1000 ms
198 plus a random delay of up to 300 ms. The subject was instructed to make the
199 saccade as soon as the target appeared. When the eye position crossed a
200 threshold two deg right from the fixation point the saccade target stepped two
201 deg inward, i.e. to the left. After a further 800 ms the target disappeared and the
202 fixation point of the next trial appeared.

203 Next to these adaptation trials each adaptation session contained test trials for
204 the other eye positions. In these test trials (Fig. 1B), one of the five possible
205 fixation points appeared. As in the adaptation trials, the saccade target appeared
206 seven deg to the right of the fixation point after a fixation duration of 1000 ms
207 plus a random delay of up to 300 ms. When the subject initiated the saccade and
208 the eye position crossed the threshold two deg right from the fixation point the
209 saccade target disappeared. 800 ms later the fixation point of the next trial
210 appeared. The target was extinguished to prevent visual feedback that could
211 interfere with the adaptation. If the target would remain illuminated and the
212 saccade was too short (because it was partially adapted), the resulting visual
213 error would lead to gain-increasing adaptation or de-adaptation. All saccadic
214 amplitudes in the pre-adaptation phase and in the post-adaptation phase were

215 calculated on the basis of test trials.

216 Furthermore balancing trials (Fig. 1C) were intermixed to balance the eye
217 positions throughout the adaptation phase of the session. These trials were
218 added to avoid that eye position was off to one side almost all through a session.
219 In a balancing trial a seven degree upwards saccade was followed by a saccade
220 to the symmetric fixation position along the horizontal or vertical axis,
221 respectively. For example, if the leftmost eye position served as adaptation
222 position, the balancing position was at the rightmost eye position.

223 Every subject performed 10 sessions, 5 for horizontal and 5 for vertical
224 arrangements of initial eye positions. Successive sessions with the same subject
225 were separated by 5 days on average and by at least 24 hours. We checked for
226 possible retention effects between successive sessions (Alahyane and Pelisson
227 2005) by comparing amplitudes in the pre-adaptation conditions in subsequent
228 sessions. We found no retention effects. A two factor repeated measures
229 ANOVA on the pre-adaptation amplitudes showed no significant difference
230 between sessions (horizontal: $F(4,20) = 0.36$, $p = 0.83$, vertical: $F(4,16) = 2.17$, p
231 $= 0.12$), or between test positions (horizontal: $F(4,20) = 0.66$, $p = 0.63$, vertical:
232 $F(4,16) = 2.26$, $p = 0.11$).

233

234 2.3 Subjects

235 Six subjects took part in the experiment with horizontal eye position
236 dependence (one author, one male, all right handed, mean age 25.5 years). Five
237 of these subjects participated in the experiment with vertically aligned test

238 position (one author, one male, all right handed, mean age 25.2 years).

239

240 2.4 Data analysis

241 For data analysis the saccadic amplitude for the pre-adaptation trials and for
242 the post-adaptation trials was calculated for every test position in every
243 adaptation session of every subject. Eye movements detected by the EyeLink
244 software were used for analysis. The criteria involved a 22 deg velocity threshold
245 and a 4000 deg/sec² acceleration criterion. Saccades which started before the
246 appearance of a target, or which were shorter than one deg were excluded from
247 the analysis. This occurred in 9% of all trials.

248 The mean pre-adaptation amplitude was calculated for each subject as
249 average of all adaptation sessions for each initial eye position. Therefore a
250 unique averaged pre-adaptation amplitude was determined for each subject at
251 each of the five test positions. The amplitude change was calculated as the
252 difference of pre-adaptation amplitudes and post-adaptation amplitudes at each
253 of the five initial fixation positions such that positive values correspond to an
254 amplitude decrease. The effect of eye position was tested with a repeated
255 measures ANOVA on the amplitude changes with the factors adaptation position
256 and test position. In case of an eye position dependent amplitude change, the
257 strongest adaptation is expected at the adapted position. Therefore, an
258 interaction between the factors adaptation position and test position is expected.

259

260

261 3 Results

262

263 We measured the influence of initial eye position on the amplitude change in
264 saccadic adaptation. A saccade of a certain starting position and amplitude was
265 adapted, and adaptation was tested at other initial eye positions in the visual field
266 with an identical retinal saccade vector.

267

268 3.1 Horizontal arrangement of test positions

269

270 To search for an eye position effect on saccadic adaptation we compare the
271 amplitude change arising at the adaptation position with the amplitude change at
272 the other test positions. The average saccade latency was 156 ± 34 ms. The pre-
273 adaptation amplitudes at the five test positions ranged between 6.57 deg and
274 6.72 deg.

275 Figure 2A shows saccadic amplitudes over the course of one adaptation
276 session of one subject. Adaptation took place at the leftmost fixation position
277 (Position 1, dark gray dots). The different test positions are gray scale coded. In
278 the pre-adaptation phase, saccadic amplitudes are clustered together at 6 deg
279 for all test positions. In the adaptation phase, saccades from fixation position 1
280 were adapted and saccade amplitude gradually decreased from 6 deg to 5 deg.
281 Only the adaptation trials are shown in the figure, balancing trials are omitted for
282 clarity. In the post-adaptation phase, amplitudes of test saccades from all five

283 starting positions are shown (interleaved adaptation trials are omitted). Saccades
284 in test trials from the adapted position (dark gray dots) remain adapted.
285 Saccades from unadapted initial eye positions showed much less adaptation.

286 The amount of adaptation, i.e., the amplitude change for the five positions is
287 shown in Fig. 2B. It is calculated as the difference of the averaged pre-adaptation
288 and post-adaptation amplitudes at each position. The amplitude change is
289 highest at the adapted position and lower at the other test positions.

290 Figure 3 shows the data averaged over all subjects and for all adaptation
291 sessions. Each panel corresponds to a particular adaptation position (filled dark
292 symbols) and depicts the amplitude change at this and the other four test
293 positions.

294 The amount of adaptation at the adapted positions (filled symbols) is of
295 comparable size in all sessions (ANOVA: $F(4,20) = 1.4$, $p = .27$). The amount of
296 adaptation at the non-adapted test positions, however, clearly shows a strong
297 variation for some adaptation positions (e.g. Fig. 3 A ,B, E). At other adaptation
298 positions (e.g. Fig. 3 C) the amount of adaptation generalizes well to non-
299 adapted test positions. A two factor ANOVA with the factors adaptation position
300 and test position gave a significant interaction between the two factors ($F(16,80)$
301 $= 8.55$, $p < 0.001$), supporting the above observation. There was no significant
302 main effect for adaptation position ($F(4,20) = 1.29$, $p = 0.3$). Thus, the eye position
303 dependencies did not arise from unequal overall adaptation in the different
304 sessions. The stars depict the significant differences in pairwise t-tests of the
305 adaptation position with the test positions. The significance level was $p < 0.05$

306 uncorrected.

307

308 To further quantify the strength of the eye position dependence in each
309 adaptation session we considered linear fits of the amplitude change data. For
310 each adaptation position the whole dataset with all single subject data is included
311 in a linear fit. In Fig. 3A-E the averaged linear fits are shown by lines for each
312 adaptation session. For the left adaptation positions the slopes are negative,
313 whereas for the right adaptation positions the slopes are positive. Slopes are
314 steep at the eccentric adaptation positions -10 deg, -5 deg, and 10 deg (Fig. 3A,
315 B, and E), and shallow for positions 0 deg and 5 deg (Fig. 3C and D). In Fig. 3F
316 the absolute values of the slopes are shown for the five adaptation position with
317 their 95% confidence intervals derived from the fit. The slopes at positions -10
318 deg, -5 deg and 10 deg are significantly different from zero. This increased
319 influence of eye position for more eccentric adaptation positions becomes
320 evident in the curved shape of the slope plots in Fig. 3F. In Figure 4 the single
321 subject data is depicted for all sessions.

322 Furthermore, the curve describing the amplitude change slopes of the five
323 adaptation sessions is shifted to the right, i.e. the left adaptation positions
324 produce a stronger slope than corresponding right positions, producing a bias
325 such that the shallowest slope is found somewhat to the right of the straight
326 ahead direction. This bias is unexpected because the arrangement of test
327 positions was symmetric with respect to straight ahead, i.e. the initial eye
328 positions -10 deg and -5 deg (Fig. 3A and B) have the same eccentricities as

329 initial eye positions 10 deg and 5 deg (Fig. 3E and D). However, because only
330 rightward saccades were used in our experiment, asymmetries with respect to
331 the central initial eye position in the horizontal arrangement can possibly be
332 related to the saccade direction. For example, an asymmetry in the eye position
333 would arise if the landing position rather than the starting position of the saccade
334 is important for adaptation. This might appear sensible since the error that drives
335 saccadic adaptation is only available after the saccade, i.e. at the saccade
336 landing position. However, if this were the case then, for 7 deg rightward
337 saccades, the relevant positions would all be shifted 7 deg to the right, effectively
338 increasing the bias rather than eliminating it. Another possible source of the
339 asymmetry in amplitude change transfer could origin from differences between
340 centrifugal and centripetal saccades. When assuming equal amounts of
341 adaptation at the adapted positions, a stronger amplitude change transfer for
342 centrifugal adapted saccades would lead to a higher net amplitude change for
343 the more left test positions. Indeed, the two factor ANOVA showed such a main
344 effect of the test position ($F(4, 20) = 3.42, p = .03$). Therefore, the bias in
345 amplitude change transfer can be explained if centrifugal saccades show
346 stronger transfer than centripetal saccades.

347

348 3.2 Vertical arrangement of test positions

349 In the above experiment, both the monotonous dependence of gain transfer
350 on horizontal eye position and the rightward bias may be related to the saccade
351 direction, which was horizontal and thus aligned with the test position

352 arrangement. To test whether the alignment of eye position and the saccade
353 vector is responsible for the eye position dependence of saccadic adaptation we
354 conducted an experiment in which eye position was varied vertically but the
355 saccade direction remained horizontal. The pre-adaptation amplitudes at the five
356 initial eye positions ranged between 6.45 deg and 6.66 deg. Average saccade
357 latency was 158 ± 36 ms.

358 Fig. 5A-E shows the spatial amplitude change profiles for the five adaptation
359 sessions averaged over subjects (individual data in Figure 6). The adaptation
360 reached at the adapted positions was not significantly different between the
361 sessions (ANOVA: $F(4,16) = .99$, $p = .44$). A two factor ANOVA of amplitude
362 changes showed no significant main effects for adaptation position ($F(4,16)$
363 $= 2.43$, $p = 0.09$), or for test position ($F(4, 16) = 1.21$, $p = 0.3$), indicating
364 comparable average adaptation in all sessions and no bias in the test positions.
365 Like for the horizontal arrangement there was a significant interaction between
366 adaptation position and test position ($F(16,64) = 4.56$, $p < 0.001$). The significant
367 pairwise comparisons of the adaptation position with the test positions in each
368 session at a significance level of $p < 0.05$ are marked by asterisks in Fig. 5A-E.
369 The linear fits are superimposed. The slope values are shown in Fig. 5F. Like for
370 the horizontal arrangement of initial position, the dependence of adaptation
371 transfer on eye positions was strong in the most eccentric adaptation positions
372 and shallow for the more central adaptation positions.

373 We conclude that the transfer of amplitude change depended on eye position
374 also for vertical eye positions saccades. Analogue to the horizontal arrangement

375 of initial eye positions, the amplitude change transfer was modulated more
376 strongly by eye position for more eccentric adaptation positions, resulting in
377 steeper slopes. These findings are similar to those for the horizontal
378 arrangement, suggesting that the eye position dependence is not due to an
379 alignment between saccade vector and the direction of initial eye position
380 variation. Moreover, this result also shows that the eye position effect in general
381 is not explained by differences between centripetal and centrifugal saccades,
382 because the saccades are all centrifugal in the vertical arrangement of eye
383 positions.

384

385 3.2 Durations and peak velocities

386

387 Changes in saccadic amplitude are usually accompanied by changes in
388 saccade metrics. Ethier et al. (2008) experimentally compared adapted saccades
389 with unadapted saccades of the same amplitude in a mimic-adaptation session
390 with the same number of trials. The adapted saccades had lower peak velocities
391 and longer durations than the unadapted saccades of the same amplitude.
392 Another comparison is that between the unadapted saccades in the pre-
393 adaptation trials and the adapted saccades of the the post-adaptation trials. This
394 comparison involves saccades of different amplitude because amplitude is
395 reduced during adaptation. The model proposed by Ethier et al. (2008) predicts
396 mainly a peak velocity decrease for inward adaptation in this comparison.
397 However, Golla et al. (2008) reported a decrease in both peak velocity and

398 duration during adaptation. Thus, the mechanism behind saccadic adaptation
399 may thus involve adjusting one or both of these saccade control parameters.

400 We assessed the amount of change in saccade duration and peak velocity
401 between pre-adaptation and post-adaptation trials in each adaptation session to
402 see whether the discrepancies in the amount of adaptation transfer could be
403 seen in different amounts of change in either of these metrics. In accordance with
404 Golla et al. (2008) we found that the modulation with eye position that occurred in
405 the saccade amplitudes was similarly present in durations and peak velocities.
406 Duration and peak velocities decreased significantly during adaptation (in peak
407 velocities and durations for both eye position arrangements: $p < .0001$), and both
408 decreases were smaller at eye positions at which the amplitude change was
409 smaller. However, a repeated measures ANOVA showed a significant interaction
410 only for peak velocities ($F(4,20) = 2.28$, $p < 0.01$ in the horizontal experiment,
411 $F(4,16) = 1.98$, $p < 0.05$ in the vertical experiment).

412

413 4 Discussion

414 To summarize, our results show that eye position can modulate the amplitude
415 change of saccades of a fixed retinal vector after inward adaptation in humans.
416 This modulation was especially prominent at eccentric initial eye positions. The
417 modulation profile was rather flat after adaptation at a central initial eye position.
418 A linear transfer profile well described the modulations of gain. Saccadic gain
419 changes transferred only partially in space for both, horizontal and vertical
420 variations of initial eye position. In the horizontal paradigm, a bias in the eye

421 position specificity results in a more pronounced spatial gain change profile for
422 anti-alignment of retinal target vector and initial eye position vector.

423 Before we discuss the implications of these results we should note that our
424 experiments were conducted with reactive saccades that are made in reaction to
425 a suddenly appearing target. Many studies on saccadic adaptation in humans
426 have shown that different categories of saccades (reactive, scanning, overlap,
427 memory guided) have partially different mechanisms of adaptation (Hopp and
428 Fuchs 2004; Pelisson et al. 2010; Alahyane et al. 2007; Panouilleres et al. 2009;
429 Zimmermann and Lappe 2009). Because of these differences between different
430 types of saccades we must be cautious in generalizing our findings to saccades
431 of other categories. Moreover, the present results were achieved with inward
432 adaptation, i.e. the adaptive shortening of saccade amplitude. Several recent
433 observations have suggested that inward and outward adaptation rely on partly
434 different mechanisms (Alahyane et al. 2007; Ethier et al. 2008; Catz et al. 2008;
435 Panouilleres et al. 2009; Zimmermann and Lappe 2009). Eye position modulation
436 occurs also for outward adaptation but it is smaller for reactive than for scanning
437 saccades (Zimmermann and Lappe, 2011).

438 Thus we begin our discussion by stating that inward adaptation of reactive
439 saccades shows eye position dependent modulations.

440 Previous studies involving eye position in saccadic adaptation have used eye
441 position as a contextual cue, showing that saccades at one eye position can be
442 adapted differently from saccades of the same vector at another eye position
443 (Alahyane and Pelisson 2004; Semmlow et al. 1989; Shelhamer and Clendaniel

444 2002; Tian and Zee 2010; Watanabe et al. 2000). Thus, saccadic adaptation can
445 be restricted to only a particular part of space. Our results are consistent with
446 this. However, when imposing two different directions of adaptation at two
447 different eye positions it is likely that the competition between these two
448 simultaneous adaptation requirements established the influence of eye position
449 in the adaptation in those studies. Our results show that eye position is an
450 inherent factor in saccadic adaptation even when only a single eye position is
451 used for the adaptation process.

452 Previous studies that adapted at only one eye position and tested transfer to
453 other eye positions did not reveal eye position effects and concluded that inward
454 reactive adaptation takes place exclusively in a retinotopic frame of reference
455 (Semmlow et al. 1989; Albano 1996; Frens and Opstal 1994; Deubel et al. 1995).
456 However, two of these studies (Semmlow et al. 1989; Frens and Opstal 1994)
457 adapted at a central eye position and tested at eccentric eye positions. The lack
458 of eye position modulation in these studies is therefore consistent with our
459 results, which showed strong eye position dependence only for eccentric
460 adaptation positions. Deubel et al. (1995) grouped initial eye positions into
461 centripetal and centrifugal saccades, and found complete transfer between these
462 two groups. The adaptation at several initial eye positions might have smeared
463 out the eye position specificity. The study by Albano (1996) is most closely
464 related to ours. Albano also adapted at only one position and tested at two
465 further positions. The adaptation position was either central or eccentric. In
466 neither case did the amplitude change decrease significantly between test and

467 adaptation position. Albano, therefore, concluded that saccadic adaptation took
468 place in retinocentric, not craniocentric, coordinates. However, the three
469 positions that were tested in that study were 0 and ± 3 deg from straight ahead
470 and saccade size was only 3 deg. Therefore, because all included eye positions
471 were close to central the eye position dependence might not have been strong
472 enough to be observable.

473 These considerations lead to the question of how spatial transfer of adaptation
474 can be restricted to the central region.

475 When considering eye position in saccadic adaptation, most approaches
476 expressed eye position as context. One possibility to include eye position
477 contexts into the mechanism of saccadic adaptation is an eye position dependent
478 modulation in a retinocentric reference frame (Fig. 7). Consider that neurons in
479 many parts of the saccade circuitry encode space in a retinocentric reference
480 frame and that the activity of these neuron is modulated by eye position gain
481 fields of the kind implicated in monkey electrophysiology (Campos et al. 2006;
482 Opstal et al. 1995; Andersen and Mountcastle 1983; Zipser and Andersen 1988;
483 Cassanello and Ferrera 2007), and human imaging studies (Brotchie et al. 2003).
484 Then, for a given motor vector, different neuronal subpopulations exist that fire
485 more strongly for left or for right eye positions, respectively. Figure 7 depicts at
486 the target representation stage in light gray a neuron pool preferring left eye
487 positions, and in dark gray a neuron pool preferring right eye positions.

488 Depending on the initial eye position during adaptation, the two populations
489 contribute differently to the generation of the saccade. For example, when

490 adapting at a left eccentric position, the neurons firing more strongly for the left
491 eye position contribute more to the saccadic drive. If the activity of neurons with
492 stronger saccade-related responses weighs more on the effects of adaptation,
493 then mostly the left-preferring subpopulation contributes to the adaptation as
494 shown by the size of the arrows to the adaptation stage in Fig. 7. Saccades
495 starting at right initial eye positions are driven mostly by the neuron pool shown in
496 light gray, which is not adapted because it contributed little to the saccades
497 originating from the adapted location. Therefore the amount of amplitude change
498 will depend on initial eye position. However, when adapting at a central position,
499 both subpopulations fire at intermediate rates, and both contribute to the saccade
500 generation. Therefore, all neurons contribute to the adaptation and the amplitude
501 change is seen at all eye positions.

502 This scheme is able to produce the eye position modulations at eccentric
503 adaptations and the full transfer at central adaptation. It only assumes that the
504 saccade target information is coded as an implicit spatial representation in a
505 combination of a retinotopic motor vector coding with eye position gain fields, and
506 that only those neurons contribute to adaptation that fire strongly for the saccade
507 that is adapted. Moreover, this scheme would accommodate the results of
508 experiments that adapted saccades from two different eye positions since the
509 two positions would be driven by different subpopulations of neurons and hence
510 can provide different adaptation states.

511 The scheme would work either for a motor vector command or for a gaze shift
512 command of a combined eye and head movement (Munoz et al., 1991;

513 Freedman and Sparks 1997), provided that the population of neurons that
514 contribute to either command contains eye position gain fields.

515 The adaptation data along the horizontal axis showed a bias such that the
516 shallowest slope is found somewhat to the right rather than in the straight ahead
517 direction. Such a bias could be formed in the above model if the gain field
518 direction is linked with the saccade direction. This produces an unequal
519 population size for left and right eccentricity. Specifically, if a higher proportion of
520 neurons have a gain field oriented against the saccade direction than in the
521 saccade direction, then stronger adaptation rates and steeper transfer profiles
522 are expected in the contraversive hemifield, consistent with the observed bias.
523 Although many cortical areas feature an equal distribution of gain field directions
524 (Bremmer et al. 1997b;a) the gain fields, for example, in the FEF show such an
525 anti-correlation with the preferred saccadic vector (Cassanello and Ferrera
526 2007).

527 The cerebellum plays a central role in saccadic adaptation (Optican and
528 Robinson 1980; Inaba et al. 2003; Golla et al. 2008; Catz et al. 2008). The
529 involvement of higher stages of oculomotor control is controversial. Many
530 detailed properties of the adaptation of different types of saccades in humans
531 suggest that areas above or at the level of the SC are involved in saccadic
532 adaptation (overview in (Hopp and Fuchs 2004; Pelisson et al. 2010)).
533 Physiological studies in monkeys, however, saw no evidence for adaptation in
534 the collicular map (Frens and Opstal 1997; Edelman and Goldberg 2002; Melis
535 and van Gisbergen 1996; Quessy et al. 2010), but only mild changes in the firing

536 rates (Takeichi et al. 2007). Retinotopic encoding of the saccade vector along
537 with an eye position gain field is a common finding in much of the circuitry that
538 generates a saccade (fastigial nucleus (Fuchs et al. 1993), NRTP (Crandall and
539 Keller 1985), SC (Campos et al. 2006; Opstal et al. 1995), LIP (Andersen et al.
540 1990), FEF (Cassanello and Ferrera 2007)). However, the model suggested
541 above does not require that adaptation takes place in these areas. Instead it
542 would be sufficient that the target command coming from areas such as SC, LIP,
543 or FEF and providing input to the adaptive circuitry in the cerebellum contains a
544 gain field modulation. If the cerebellum keeps track of its inputs and modifies
545 saccade amplitude only for active inputs, as suggested by Edelman and
546 Goldberg (2002), then only saccades at the adapted position will be affected.

547 This scenario leaves two possibilities for the properties of single Purkinje cells
548 in the cerebellum. First, single Purkinje cells may show eye position gain fields,
549 and the contribution of a Purkinje cell to adaptation may be proportional to the
550 strength of its eye position tuning. Alternatively, each Purkinje cells may receive
551 input from neurons of all different eye positions gain fields, and the net eye
552 position effect may be balanced-out such that the neuron might not show a gain
553 field for unadapted saccades. In this case, however, as synaptic input strength
554 changes during adaptation, the neuron should develop a gain field over the
555 course of adaptation. Both possibilities may be tested experimentally in single
556 neuron recordings.

557

58 5 Acknowledgments

589 M.L. is supported by the German Science Foundation DFG LA-952/3, the
590 German Federal Ministry of Education and Research project Visuo-spatial
591 Cognition, and the EC Project FP7- ICT-217077-Eyeshots.

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Figures

694 Figure 1: Schematic view of the different types of trials. The gray squares show
695 all five possible initial eye positions. The filled square shows the target positions,

696 the open square shows the former target position. A) Adaptation trial, after a
697 variable fixation duration the appearance of the target evokes a saccade. The
698 onset of the saccade then triggers the intra-saccadic back step, B) In test trials,
699 the target is extinguished during the saccade C) Balancing trial.

700

701 Figure 2: Single subject data of an example session of adaptation at the leftmost
702 eye position. The brightness codes the different test positions. Light gray codes
703 the right, dark gray the left positions. The darkest gray codes the adaptation
704 position. A) Development of the saccade amplitude over the course of the
705 session. Each dot gives the saccade amplitude of one trial. In the pre-adaptation
706 phase the amplitudes are comparable at all test positions. In the adaptation
707 phase, the amplitudes of saccades starting at the leftmost eye position decrease.
708 Data points in the post adaptation phase show the amplitudes of saccades at the
709 different test positions. The amplitudes of saccades starting at eye positions on
710 the left (dark gray) remain reduced, whereas saccades starting at eye positions
711 on the right (light gray) show less adaptation. B) Means and standard deviations
712 of amplitude changes at the five test positions. The amount of adaptation
713 decreased from left to right.

714

715 Figure 3: Averaged amplitude changes for the horizontal arrangement of the
716 different test positions. A-E) Each panel shows the amplitude changes for one
717 adaptation session. The filled symbols show the adapted position in each
718 session. A clear dependence of the amplitude change on the eye position is

719 visible at the eccentric adaptation positions -10 deg, -5 deg, and 10 deg. F)
720 Absolute slopes of the linear fits to the eye position dependence. Error bars show
721 95% confidence intervals of the fit parameter slope. Asterisks mark slope values
722 significantly different from zero (alpha level: 0.05).

723

724 Figure 4: Single subject data from the horizontal arrangement of initial eye
725 positions. Each panel represents the amplitude changes of one experimental
726 session. From left to right the adapted position changes, in each line data from
727 one subject is shown. The circles show the amplitude changes, the line shows a
728 linear fit.

729

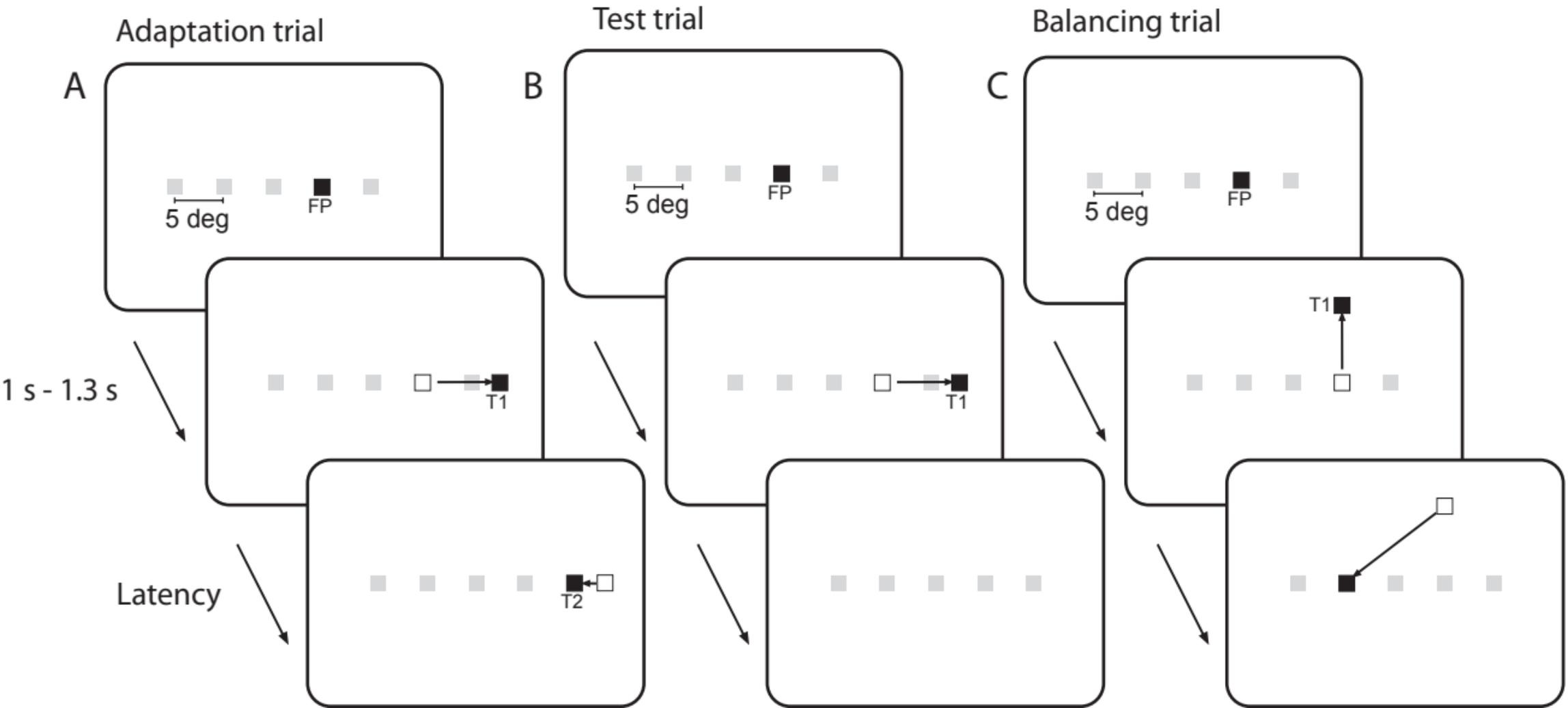
730 Figure 5: Averaged amplitude changes for the vertical arrangement of test
731 positions. A-E) Each amplitude change for each adaptation session. The filled
732 symbols show the adapted position in each session. F) Means and 95%
733 confidence intervals for the absolute slopes of the linear fits to the eye position
734 dependence.

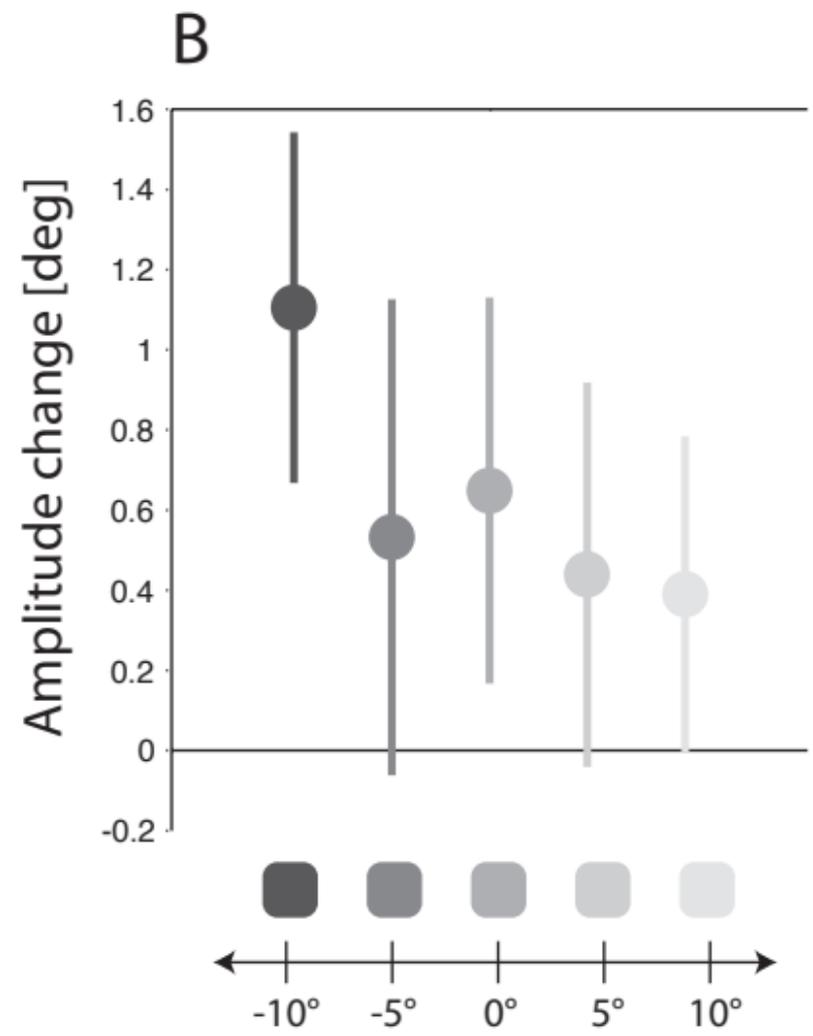
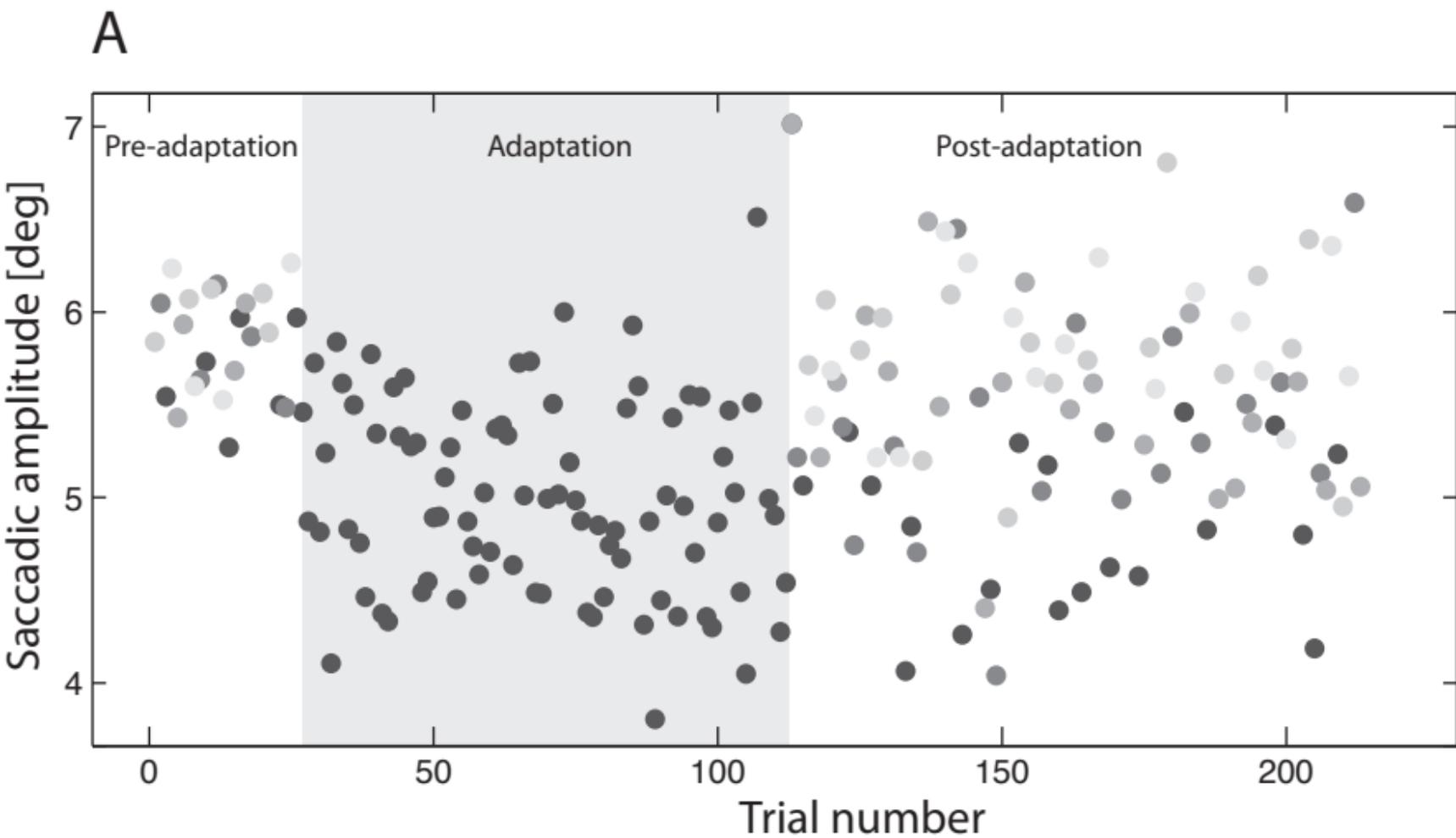
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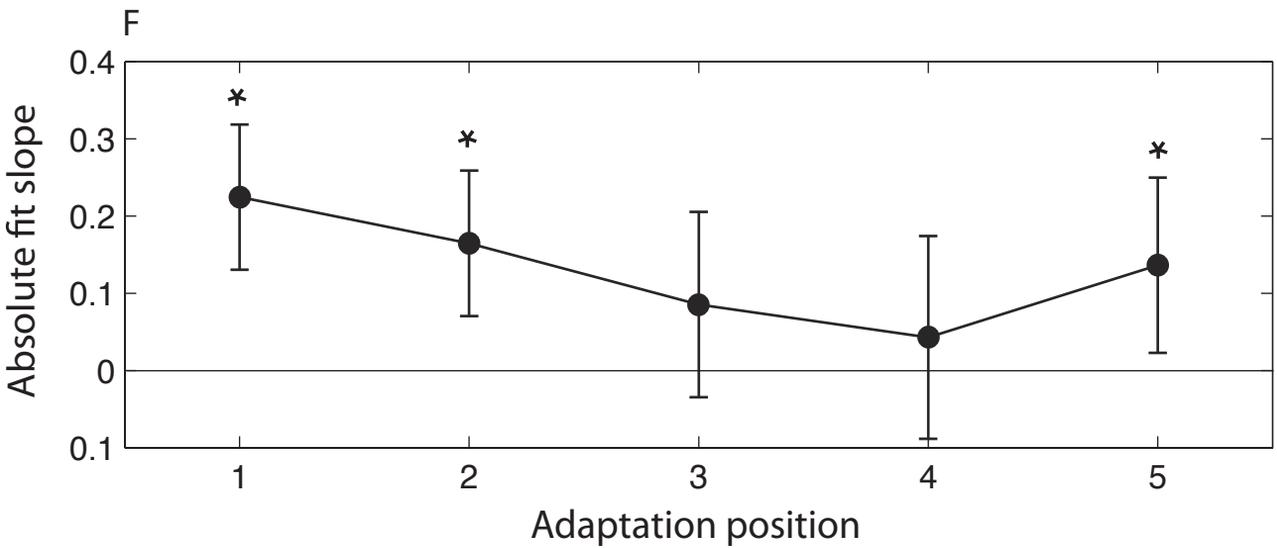
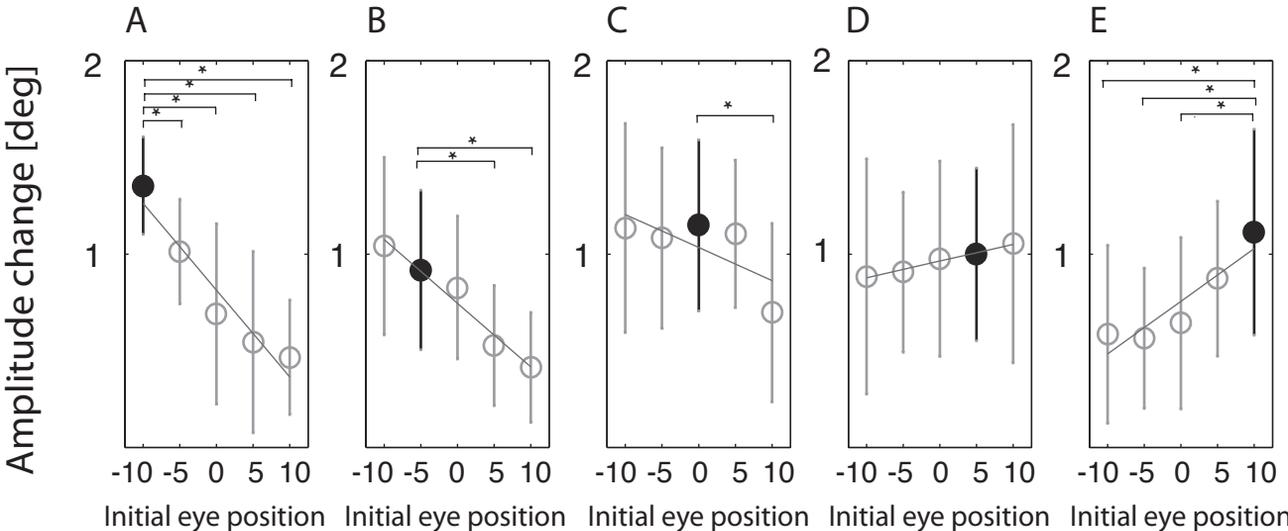
736 Figure 6: Single subject data from the vertical arrangement of initial eye
737 positions. Each panel represents the amplitude changes of one experimental
738 session. From left to right the adapted position changes, in each line data from
739 one subject is shown. The circles show the amplitude changes, the line shows a
740 linear fit.

741

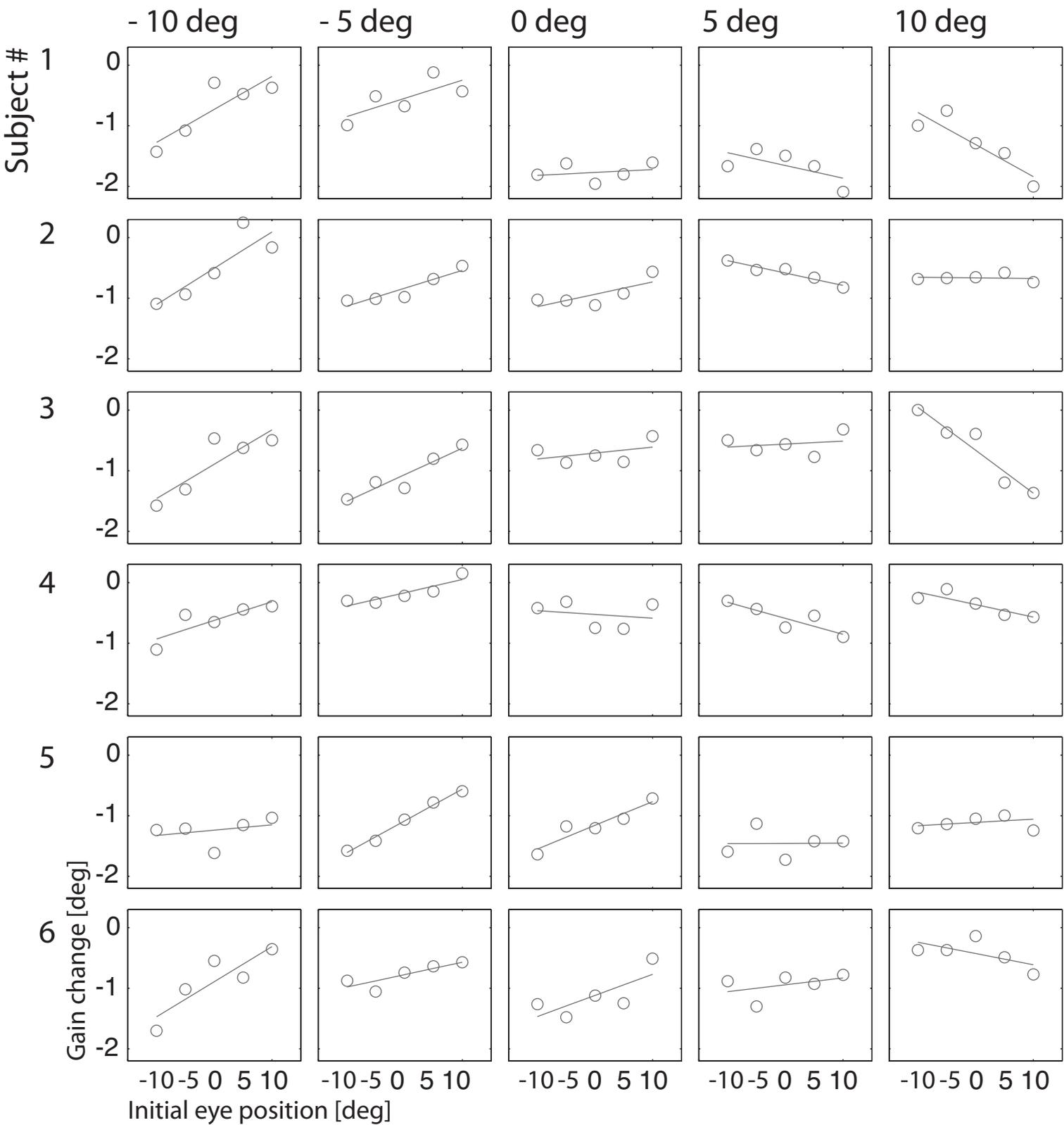
742 Figure 7: Sketch of a possible mechanism for the eye position dependent
743 modulation of saccadic adaptation. See discussion for explanation.

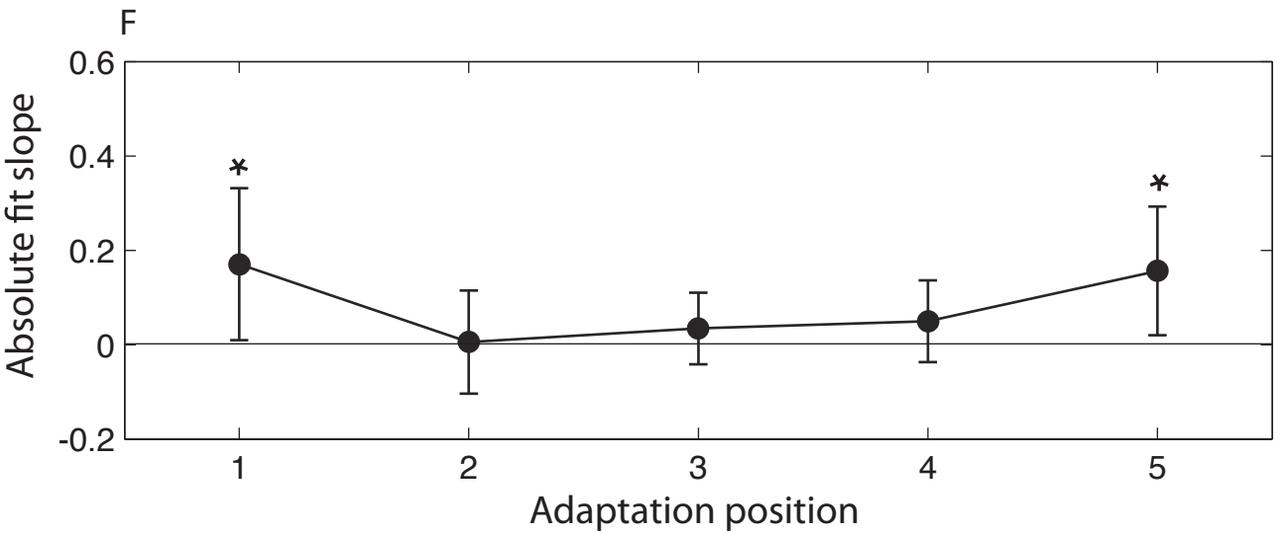
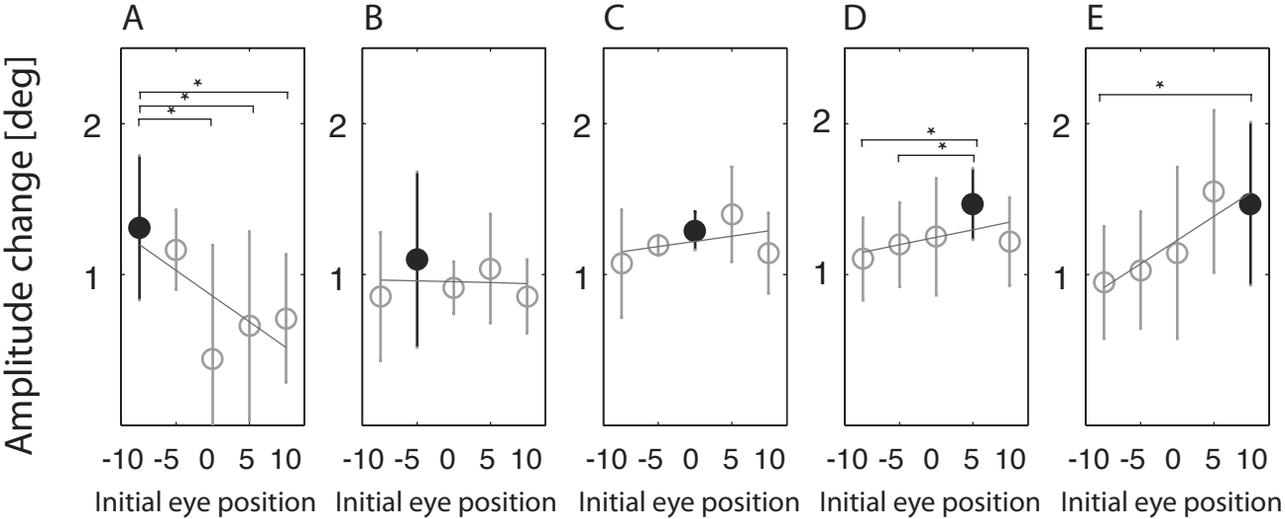






Adapted Position





Adapted Position

